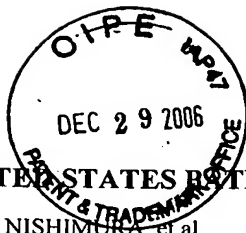


Docket No. 294723US3X PCT



MAIL STOP PCT

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroshi NISHIMURA, et al.

SERIAL NO: 10/588,510

GAU:

FILED: August 4, 2006

EXAMINER:

FOR: SINGLE CRYSTAL GROWING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

C. Irvin McClelland

Registration No. 21,124

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

294723US3X PCT

SERIAL NO.

10/588,510

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Hiroshi NISHIMURA, et al.

FILING DATE

August 4, 2006

GROUP



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AJ	49 36523	10/01/1974	JP (equivalent of US 3817710)		NO
	AK	50 29405	09/23/1975	JP (equivalent of US 3761677)		NO
	AL	9 235171	09/09/1997	JP (with English abstract and computer generated translation & equivalent of US 5 762 707)		NO
	AM	5 178685	07/20/1993	JP (with English abstract and computer generated translation)		NO
	AN	5 34317	05/21/1993	JP (with English abstract)		NO
	AO	61 31380	02/13/1986	JP (with English abstract)		NO
	AP	60 67374	05/13/1985	JP (with English abstract)		NO
	AQ	2002 25305	01/25/2002	JP (with English abstract and computer generated translation)		NO
	AR	2001 202924	07/27/2001	JP (with English abstract and computer generated translation)		NO
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/588,510

Filed: August 4, 2006

Hiroshi NISHIMURA, et al.

Docket No. 294723 US

STATEMENT OF RELEVANCY

- 1) References AJ-AM have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References AO-AR have been cited in the corresponding two Japanese Office Actions. A copy of these references is being submitted herewith.
- 3) Reference AN is discussed in the specification. A copy of that reference is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.